

# Lecture 6

## ESE 431 Intro to Theory of Computation

So far...

$k$ -tape TM  $\equiv$  1-tape TM  
 NTM  $\equiv$  TM  
 2-dim TM  $\equiv$  TM (Homework)

Also TM  $\equiv$  Random Access Machine

### Random Access Machine

Idealized Computer {

- Just like model for ordinary assembly language except that
  - each register holds an arbitrary integer (initially 0)
  - there is one register for each natural number  $0, 1, 2, \dots$
- Indirect addressing still allowed.
  - register indexed uses absolute value

Simulation : Configuration - store value of each register touched between # ~ # on tape

eg. # i # R<sub>i</sub> #  
           ↑          ↑  
       binary value   value  
                           (rest are implicitly 0)

⇒ C, Java, any programming language + unbounded memory  $\equiv$  TM

Also TM  $\equiv$   $\lambda$ -calculus  $\equiv$   $\mu$ -recursive functions

Turing 1936
Kleene 1937

## Church-Turing Thesis (1936)

Any reasonable model that captures all of computation is equivalent in power to Turing machines

Not a statement that can be proved or disproved since it uses a notion "reasonable model" which is not formal

The text phrases this as

Algorithm  $\equiv$  TM Algorithm

but this only makes sense if the left side is meant to say "our intuitive notion of algorithm"

Since it was put forth, many other models of computation have been developed and all models are either

- equivalent to TM in power (or weaker) e.g. quantum computers
- allow unreasonable operations with infinite action in a single step

Such models sometimes called "Turing-complete"

From now on we use <sup>mostly</sup> high-level descriptions just as in <sup>n</sup> ordinary pseudocode

- not worrying about direction of head movement etc.

### Input encoding:

many problems have multiple input encodings

eg. Graphs  $G = (V, E)$

- adjacency matrix
- adjacency lists (eg. singly, doubly linked)
- edge lists

a. TM can convert any one of these encodings to the other so we don't care which one.

This is a string over some fixed alphabet

We use  $\langle G \rangle$  to denote any reasonable encoding

angle brackets in latex  $\langle G \rangle$

$\{ \langle G \rangle \mid G \text{ is a graph and } \dots \}$

Describe TM operating on such an input like any high level graph algorithm.

More generally, can encode many things between angle brackets.

eg.  $\{ \langle G, s, t \rangle : G \text{ is a graph with a path from node } s \text{ to node } t \}$

an  $\{ \langle x, y \rangle : x, y \in \Sigma^* \}$  encodes a pair of strings

eg. Input is a multivariate polynomial  $p$   
say  
 $p = 6x^2y + 3yz + 1$  in var  $x, y, z$ .

$\langle p \rangle$  : list of coefficients and monomials

Can also use  $\langle M \rangle$  to denote encoding of computational devices

eg. finite state machines

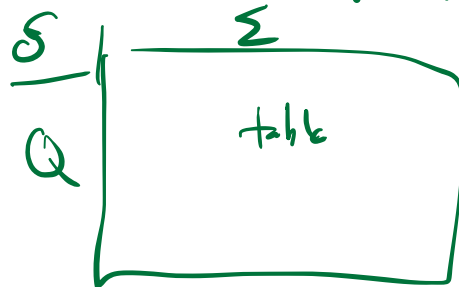
DFA, NFA, ...

labelled graphs.

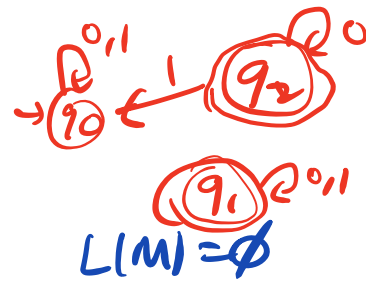
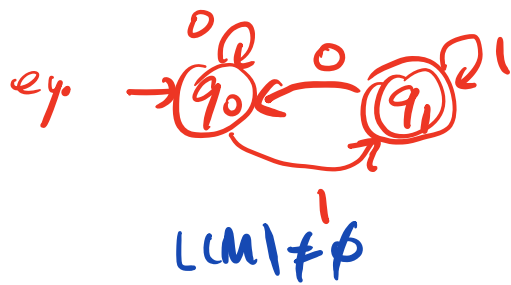
eg. define  $E_{DFA} = \{ \langle M \rangle : M \text{ is a DFA with } L(M) = \emptyset \}$

Recall a DFA  $M$  has

$Q, \Sigma, \delta, q_0, F$   
Set of states   set of symbols   transitions function    $q_0 \in Q$  start state    $F \subseteq Q$  Set of accepting / final state



• encoding is similar to that of graphs  
 • must encode each symbol in  $\Sigma$  since  $\langle M \rangle$  is over a fixed alphabet.



Thm EDFA is decidable

Proof For a DFA,  $L(M)$  is empty  
iff no path from the start state  $q_0$   
to any of the states in  $F$

Algorithm: Do a graph search (DFS, BFS, ...) in diagram of  $M$  starting at  $q_0$

- if state in  $F$  reached, reject
- if no state in  $F$  reached, accept

$E_{NFA} = \{ \langle M \rangle : M \text{ is an NFA and } L(M) = \emptyset \}$

Thm  $E_{NFA}$  is decidable

Proof Algorithm 1:

- Convert  $\langle M \rangle$  to  $\langle M' \rangle$  where  $M'$  is a DFA with  $L(M') = L(M)$  using subset construction
- Run decider for  $E_{DFA}$  on input  $\langle M' \rangle$

Algorithm 2: (Same as algorithm for  $E_{DFA}$  on diagram of  $M$ )  
check if state of  $F$  reachable from  $q_0$

$A_{DFA} = \{ \langle M, w \rangle : M \text{ is a DFA that accepts string } w \}$

Then  $A_{DFA}$  is decidable

Proof: TM

Can do a step-by-step *simulation* of  $M$  on input  $w$ .

- keep pointer on next char to be read  
(on tape)
- record current state of  $M$   
(on tape)

*Need this since  $M$  might have more states than the TM*

Accept if state in  $F$  reached at end of  $w$   $\square$

$A_{NFA} = \{ \langle M, w \rangle : \text{NFA } M \text{ accepts } w \}$

Then  $A_{NFA}$  is decidable

Proof

Algorithm 1: • Convert input  $\langle M, w \rangle$  for NFA  $M$  to  $\langle M', w \rangle$  for equivalent DFA  $M'$   
• Run decider for  $A_{DFA}$  on  $\langle M', w \rangle$

Algorithm 2: • Do an "on-the-fly" simulation keeping track of all states reachable from  $q_0$  after reading each character of  $w$ .  $\square$

$A_{REG} = \{ \langle R, w \rangle : R \text{ is a regular expression that generates } w \}$

Thm  $A_{REG}$  is decidable

Proof On input  $\langle R, w \rangle$  convert  $R$  to an equivalent NFA  $M$  and run decider for  $A_{NFA}$  on input  $\langle M, w \rangle$

$\square$

$EQ_{DFA} = \{ \langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are DFAs with } L(M_1) = L(M_2) \}$

Thm  $EQ_{DFA}$  is decidable

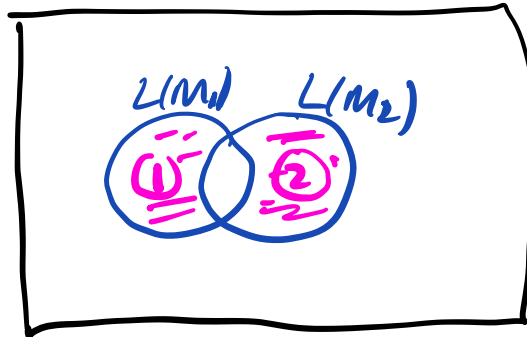
Proof We give two algorithms based on  
1. closure properties of DFAs  
2. minimization alg for DFAs

# Closure

Recall from 311

Given DFA  $M, M'$  we can build new DFA for

- Complement  $\overline{L(M)}$   
change  $F$  to  $Q-F$
- $L(M) \cup L(M')$   
 $L(M) \cap L(M')$  } cross-product construction



$L(M_1) = L(M_2)$  iff ① or ② is empty.

$$\begin{aligned} \textcircled{1} &= L(M_1) \cap \overline{L(M_2)} \\ \textcircled{2} &= L(M_2) \cap \overline{L(M_1)} \end{aligned}$$

Algorithm 1: Build DFA  $\langle M \rangle$  s.t.

$$L(M) = (L(M_1) \cap \overline{L(M_2)}) \cup (L(M_2) \cap \overline{L(M_1)})$$

- Run decider for EDFA on input  $\langle M \rangle$  and output its answer

Minimization: Recall DFA minimization alg from 311. We didn't prove it but it turns out that if  $L(M_1) = L(M_2)$



Then the minimized versions of  $M_1$  and  $M_2$  will be the same up to renaming of states (which is easy to check since edge labels need to match)

- Algorithm 2:
- Run minimization alg on  $M_1$  and on  $M_2$
  - Check that minimized forms are the same (up to state renaming)

Note • This second alg is efficient in practice (much more than the first)

- In fact this second algorithm was what was used to grade your CSE 311 finite state machine homework!

□